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TECHNOLOGY CENTER R3700

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/031,196
Filing Date	January 15, 2002
First Named Inventor	Felkl
Group Art Unit	3725
Examiner Name	Goetz, John S.
Attorney Docket Number	A34882 PCT USA

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

SUPPLEMENTAL AMENDMENT

Remarks



NO FEE REQUIRED

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

BakerBotts LLP
30 Rockefeller Plaza
New York, NY 10112

Signature

Att Name: Bradley B. Geist
PTO Reg: 27,551

Date

December 16, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: December 16, 2003

Typed or printed name

Bradley B. Geist

Signature

Date December 16, 2003

BAKER BOTTS LLP

Attorney Docket Number: A34882 PCT USA

Title: METHOD AND DEVICE FOR ROLLING A METAL STRIP BY MEANS OF A SKIN-PASS ROLLING
STAND

Use Space Below for Additional Information:

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FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$0)

Complete if Known

Application Number 10/031,196
Filing Date January 15, 2002
First Named Inventor Felkl
Examiner Name Goetz, John S.
Art Unit 3725
Attorney Docket No. A34882 PCT USA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee required under 37CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent	20	0	X			0
Multiple Dependent	3	0	X			0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0)

SUBMITTED BY

Name (Print/Type)

Bradley B. Geist

Registration No.
(Attorney/Agent)

27,551

(Complete if applicable)

Telephone 212-408-2500

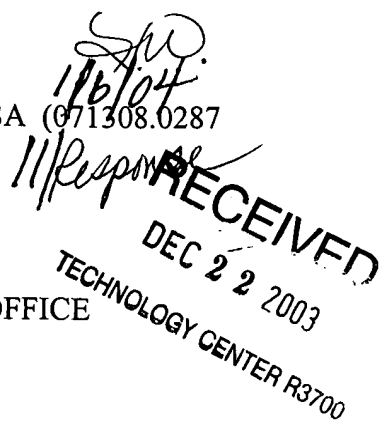
Signature

Date

December 16, 2003



A34882 PCT-USA (071308.0287)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Felkl et al.
Serial No. : 10/031,196 Examiner: Goetz, John S.
Filed : January 15, 2002 Group Art Unit: 3725
For : METHOD AND DEVICE FOR ROLLING A METAL STRIP BY
MEANS OF A SKIN-PASS ROLLING STAND

SUPPLEMENTAL AMENDMENT

I hereby certify that this paper is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Commissioner for Patents, Box 1450,
Alexandria, VA 22313-1450 December 16, 2003

Date of Deposit

Bradley B. Geist
Attorney Name
[Signature]
Signature

27,551
Reg. No.
Dec. 16, 2003
Date of Signature

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

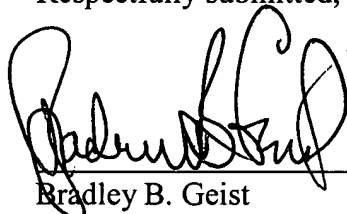
Sir:

Further to the Applicants responsive amendment filed on October 28, 2003,
Applicants wish now to provide an explanation of the term "dead band" as used in the
Specification at page 7. When s trip tension is controlled by a tension controller, the strip
tension is allowed to vary within a set range of values. This range of the values for strip
tension is called "dead band" and is preferably located around a set point value for strip
tension. Only when the strip tension reaches the boundaries of the "dead band" and
NY02:468979.1

additional set value dF_w for the rolling force is emitted in order to assure the desired elongation e of metal strip 1.

Applicants hope the foregoing is helpful to the examiner, and it believed to be fully responsive to what the Examiner was unclear of, as expressed at item 2(I) on page 2 of the Official Action dated July 28,2003.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Bradley B. Geist', is written over a horizontal line.

Bradley B. Geist
Patent Office Reg. No. 27,551

Attorney for Applicants
(212) 408-2562